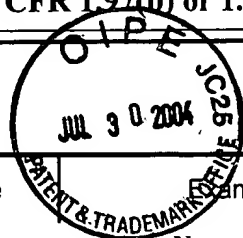


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
00990077AA

In Re Application Of: Usui



| Application No. | Filing Date | Examiner    | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|-------------|-------------|--------------|----------------|------------------|
| 09/833,664      | 4-13-01     | Nguyen, Lee | 30743        | 2682           | 1731             |

Title: **MOBILE COMMUNICATION DEVICE CAPABLE OF CARRYING OUT BOTH INDIRECT AND DIRECT COMMUNICATION**

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Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Technology Center 2600**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

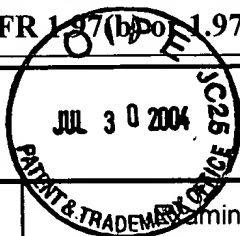
**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) and 1.97(c))

Docket No.  
00990077AA

In Re Application: Usui



| Application No. | Filing Date | Examiner    | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|-------------|-------------|--------------|----------------|------------------|
| 09/833,664      | 4-13-01     | Nguyen, Lee | 30743        | 2682           | 1731             |

**MOBILE COMMUNICATION DEVICE CAPABLE OF CARRYING OUT BOTH INDIRECT AND  
COMMUNICATION**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-2041  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated: 7-30-04

Michael E. Whitham

Reg. No. 32,635

Whitham, Curtis & Christofferson P.C.

11491 Sunset Hills Road

Suite 340

Reston, Va. 20190

703-787-9400

Customer # 30743

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of  
Usui

Serial No. 09/833,664

Group Art Unit: 2682

Filed: 4-13-01

Examiner: Nguyen, Lee

For: **MOBILE COMMUNICATION DEVICE CAPABLE OF CARRYING OUT BOTH  
INDIRECT AND DIRECT COMMUNICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Va. 22313-1450

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INFORMATION DISCLOSURE STATEMENT

**Technology Center 2600**

Sir:

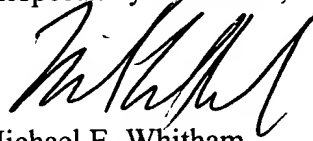
Under the provisions of 37 C.F.R. 1.97 through 1.98 and pursuant to applicant's duty of disclosure under 37 C.F.R. 1.56, applicant respectfully brings the following documents, cited in a British Search Report for the above- identified patent application and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of this statement.

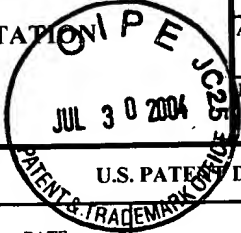
It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application. Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-2041

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Michael E. Whitham', written over the printed name.

Michael E. Whitham  
Registration No. 32,635

Whitham, Curtis & Christofferson P.C.  
11491 Sunset Hills Road  
Suite 340  
Reston, Va. 20190  
703-787-9400  
Customer # 30743

|  |     |                 |      |   |       |   |                               |
|--|-----|-----------------|------|---|-------|---|-------------------------------|
| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i>                               |     |                 |      | Docket Number (Optional)<br><b>00990077AA</b> |       | Application Number<br><b>09/833,664</b> |                               |
|  |     |                 |      | Applicant(s)<br><b>Usui</b>                   |       | Filing Date<br><b>4-13-01</b>           |                               |
| <br><b>U.S. PATENT DOCUMENTS</b> |     |                 |      |   |       |   |                               |
| *EXAMINER<br>INITIAL   | REF | DOCUMENT NUMBER | DATE | NAME  | CLASS | SUBCLASS                                | FILING DATE<br>IF APPROPRIATE |
|  |     |                 |      |   |       |   |                               |
|  |     |                 |      |   |       |   |                               |
|  |     |                 |      |   |       |   |                               |
|  |     |                 |      |   |       |   |                               |
|  |     |                 |      |   |       |   |                               |

|   |     |                 |      |      |       |          |                               |
|---|-----|-----------------|------|------|-------|----------|-------------------------------|
| <b>U.S. PATENT APPLICATION PUBLICATIONS</b> |     |                 |      |      |       |          |                               |
| *EXAMINER<br>INITIAL                        | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|   |     |                 |      |      |       |          |                               |
|   |     |                 |      |      |       |          |                               |
|   |     |                 |      |      |       |          |                               |
|   |     |                 |      |      |       |          |                               |

| <b>FOREIGN PATENT DOCUMENTS</b> |     |                 |         |               |       |          |             |    |
|---------------------------------|-----|-----------------|---------|---------------|-------|----------|-------------|----|
|                                 | REF | DOCUMENT NUMBER | DATE    | COUNTRY       | CLASS | SUBCLASS | Translation |    |
|                                 |     |                 |         |               |       |          | YES         | NO |
|                                 | 1   | GB 2 347 320    | 8-30-00 | Great Britain |       |          |             | ✓  |
|                                 | 2   | EP 1 126 377    | 8-22-01 | Europe        |       |          |             | ✓  |
|                                 |     |                 |         |               |       |          |             |    |
|                                 |     |                 |         |               |       |          |             |    |
|                                 |     |                 |         |               |       |          |             |    |

|                        |   |   |   |
|------------------------|---|---|---|
| <b>OTHER DOCUMENTS</b> |   |   | <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i> |
|                        | 1 | Combined Examination and British Search Report dated May 27, 2004 |   |
|                        |   |   |   |

|                 |                        |
|-----------------|------------------------|
| <b>EXAMINER</b> | <b>DATE CONSIDERED</b> |
|                 |                        |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.